Ifw

CERTIFICATE OF Applicant(s): Nigel Ma	Docket No. UCT-0043								
Application No. 10/811,484	Filing Date 03/26/2004 DI 1 1 2004 NYA	Customer No. 23413	Group Art Unit						
Invention: METHODS OF MANUFACTURE OF ELECTROLETUBES FOR SOLID OXIDE DEVICES AND THE DEVICES OBTAINED THEREFROM									
I hereby certify that t	page); Trans e non-patent ritten Opinio	document;							
Theroby cortiny that t	(Identify type of correspondence)								
is being deposited w	ith the United States Postal Service with sufficient postage as	first class mail in	an envelope						
	nissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1	450" [37 CFR 1.8	3(a)] on						
	October 12, 2004 (Date)								
, ·	Michelle E								
	(Typed or Printed Name of Person Ma) (Signature of Person Ma)	Mes	ence)						
	l	, -							
			•						
	Note: Each paper must have its own certificate of mailing.	·							
-		<u>, , , , , , , , , , , , , , , , , , , </u>							

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Nigel Mark Sammes et al.	OIPE)
Serial No.: 10/811,484	m 1 1 2004 w) Group Art Unit: 1746
Filing Date: March 26, 2004	OCT 1 LOUN SE) Examiner:
For: METHODS OF MANUFACTURE TUBES FOR SOLID OXIDE DEV	OF ELECTOLYTE VICES AND THE)
DEVICES OBTAINED THEREFR	ROM)

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR §§ 1.56, 1.97 AND 1.98</u>

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

David E. Rodrigues

Registration No. 50,604

Date:

October 12, 2004

Customer No.: 23413

Telephone:

(860) 286-2929

By:

TRAN	SMITTA	Docket No. UCT-0043							
In Re Application Of: OCT 1 4 2004									
<u>`</u>	Application No. Filing Date Examiner Customer No. Group Art Unit Confirmation No.								
		03/26/2004	1746 8879						
Title:									
	DS OF MA	ANUFACTURE OF I	ELECTROLYTE TUBES FOR S	SOLID OXIDE	DEVICES AND	THE			
DEVICE	S OBTAIN	NED THEREFROM							
			Address to: Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-14						
			37 CFR 1.97(b)						
1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.									
			37 CFR 1.97(c)						
2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:									
	☐ the statement specified in 37 CFR 1.97(e);								
			OR						
	☐ the fee set forth in 37 CFR 1.17(p).								

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. UCT-0043			
In Re Application:								
Nigel Mark Sammes et al.								
Application No.	Filing Date	Examine	r	Customer No.	Group Art Unit	Confirmation No.		
10/811,484	03/26/2004	NYA		23413	1746	8879		
Title:								
	ANUFACTURE OF	ELECTROLYTE TU	BES FOR S	SOLID OXIDE	DEVICES AND	THE		
DEVICES OBTAIN	NED THEREFROM	Payme	ent of Fee					
	(Only cor	nplete if Applicant elect		ee set forth in 37	CFR 1.17(p))			
□ A check in the amount of is attached. □ The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below. □ Charge the amount of □ Credit any overpayment. □ Charge any additional fee required. □ Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should r included on this form. Provide credit card information and authorization on PTO-2038. Certificate of Transmission by Facsimile* □ Certificate of Transmission by Facsimile* □ Certificate of Mailing by First Class □ I hereby certify that this correspondence is being with the United States Postal Service with sufficie as first class mail in an envelope add "Commissioner for Patents, P.O. Box 1450, Alexa 22313-1450" [37 CFR 1.8(a)] on October 12, 2004 □ (Date) Signature Signature of Person Mailing Correspont.						ing deposited cient postage ddressed to exandria, VA		
Typed or F	rinted Name of Person Sig	ning Certificate	Michelle E. Fetzner Typed or Printed Name of Person Mailing Certificate					
*This certificate may only be used if paying by David E. Rodrigues Registration No. 50,604 Cantor Colburn LLP 55 Griffin Road South Bloomfield, CT 06002 Telephone: (860) 286-2929 Facsimile: (860) 286-0115								
cc:								

·\$°					ATTY DOCKET NO.		APPLICATION N	10.	
NFORMATION DISCLOSURE CITATION			UCT-0043	10/811,484					
YNFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				APPLICANT(S) Nigel Mayly Sammes et al.					
မေ					Nigel Mark Sammes et al. FILING DATE GROUP ART UNIT				
OCT 1 4 2004 U.S. PATEN					03/26/2004		1746		
EXT	MADEMAR	, OF	U.	S. PATENT	DOCUMENTS				
*EXAMINER	8	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
				Misawa					
		· · · · · · · · · · · · · · · · · · ·							
			U.S. PATEN	NT APPLIC	ATION PUBLICATIONS	ı		1	
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DAT	
									-
	<u> </u>		FORE	EIGN PATE	NT DOCUMENTS	T		1	
		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANS YES	LATION NO
				<u></u>					
		· · · · · · · · · · · · · · · · · · ·							
			<u> </u>						
	<u>, , </u>	OTHER DOCUME	ENTS (Includir	ng Author	, Title, Date, Pertinent I	Pages, Etc	:.)	1	I
		Du, et al., "Optimisation European Ceramic Soci	n Parameters For ety 20 (2000), pa	r the Extru ges 959-96	sion of Thin YSZ Tubes Fo	or SOFC El	ectrolytes", Jour	rnal of the	
	International Search Report and the Written Opinion of the International Searching Authority Date Mailed: September 1, 2004								
EXAMINER	₹				DATE CONSIDERED		· <u> </u>		
		eference considered, whethopy of this form with next co			nance with MPEP 609; Draw	line through	citation if not in c	onformance	and not

Form PTO-A820 (also form PTO-1449)

OF 1